



02/20/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Check Box, if applicable [ ] Duplicate

Attorney  
Docket No.

950971B

Total Pages

First Named Inventor or Application Identifier

SHIMOYAMA et al.

Express Mail Label No.

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JC860 U.S. PTO

09/785428

02/20/01

APPLICATION ELEMENTS FOR:  
SEMICONDUCTOR DEVICE AND METHOD FOR  
MANUFACTURING THE SAME

ADDRESS TO: Director of Patents and Trademarks  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. [X] Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. [X] Specification Total Pages [25]
3. [X] Drawing(s) (35 USC 113) Total Sheets [8]
4. [X] Oath or Declaration Total Pages [2]
  - a. [ ] Newly executed (original or copy)
  - b. [X] Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
    - i. [ ] Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. [X] Incorporation by reference (usable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. [ ] Microfiche Computer Program (Appendix)
7. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. [ ] Computer Readable Copy
  - b. [ ] Paper Copy (identical to computer copy)
  - c. [ ] Statement Verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. [X] Assignment to mitsubishi chemical corporation, Tokyo, Japan in the prior Application Serial No. 08/970,145, filed on November 13, 1997 has been recorded at Reel 7761, Frame 0898 on January 16, 1996.
9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee) [X] Power of Attorney

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10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☐ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)
- a. Priority of Japanese application no. 6-262837 filed on October 26, 1994; of Japanese application no. 6-262838 filed on October 26, 1994 and of Japanese application no. 6-262839 filed on October 26, 1994 is claimed under 35 USC 119. The certified copy/copies has/have been filed in prior application Serial No. 08/970,145. (For Continuing Applications, if applicable).
16. ☐ Other \_\_\_\_\_
17. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_\_
- a. ☒ Please amend the specification by inserting after the title: --This application is a continuation of prior application Serial No. 08/970,145 filed November 13, 1997 --.
- b. ☐ Cancel in this application original claims \_ of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$710.00
Total Claims	32 - 20	12	x \$18.00	216.00
Independent Claims	3 - 3		x \$80.00	0
Multiple Dependent Claims			\$270.00	270.00
Basic Filing Fee				\$710.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$1,196.00

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- ☒ A check in the amount of \$1,196.00 is enclosed to cover the filing fee.
- ☐ Please charge our Deposit Account No. **01-2340** in the total amount of \$ to cover the filing fee and the \$ assignment recordation fee. A duplicate of this sheet is attached.
- ☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name Stephen G. Adrian

Reg. No. 32,878

Signature

Date: February 20, 2001